

JAN 12 2006

PTO/SB/96 (12-05)

Approved for use through 07/31/2006, OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Paul R. Dodge; Robert S. McCarty; Doug Rogers; Gail RogersApplication No./Patent No./Control No.: 5,718,112 Filed/Issue Date: February 17, 1998Entitled: METHOD AND APPARATUS FOR THE DESTRUCTION OF VOLATILE ORGANIC COMPOUNDSVerloer Power Systems, a Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Paul R. Dodge; Doug Rogers; Gail Rogers To: Allied Signal, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 007850, Frame 0260, or for which a copy thereof is attached.
2. From: Robert S. McCarty To: Allied Signal, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 008189, Frame 0827, or for which a copy thereof is attached.
3. From: Allied Signal, Inc. To: Honeywell International, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 014201, Frame 0864, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

1/12/06
Date

Michael J. Tempel, Registration No. 41,344

(770) 709-0056

Printed or Typed Name

Telephone Number

Registered Patent Attorney authorized signatory on behalf of Assignee
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Patent No. 5,718,112

ADDENDUM TO STATEMENT UNDER 37 C.F.R. § 3.73(b)

Assignment:

From: Honeywell International, Inc.

To: Vericor Power Systems

Recorded at Reel 013380 / Frame 0505

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PTO/SB/52 (10-05)

Approved for use through 04/30/2007. OMB 0651-0033

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Supplemental REISSUE APPLICATION DECLARATION BY THE ASSIGNEE		Docket Number (optional) 22130.0003U2
I hereby declare that: The residence, mailing address and citizenship of the inventors are stated below. I am authorized to act on behalf of the following assignee: <u>Vericor Power Systems LLC</u> and the title of my position with said assignee is: <u>Patent Counsel</u> The entire title to the patent identified below is vested in said assignee.		
Inventor <u>Paul R. Dodge</u>	Citizenship <u>USA</u>	
Residence/Mailing Address <u>1737 E. Hackmore, Mesa, AZ 85203</u>		
Inventor <u>Robert S. McCarty</u>	Citizenship <u>USA</u>	
Residence/Mailing Address <u>3718 N. 48th Place, Phoenix, AZ 85018</u>		
<input type="checkbox"/> Additional Inventors are named on separately numbered sheets attached hereto.		
Patent Number <u>5,718,112</u>	Date of Patent Issued <u>February 17, 1998</u>	
I believe said inventor(s) to be the original and first inventor(s) of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention entitled: <div style="border: 1px solid black; padding: 10px; margin: 10px 0;">Method and apparatus for the destruction of volatile organic compounds.</div> the specification of which <input type="checkbox"/> Is attached hereto. <input checked="" type="checkbox"/> was filed on <u>12/06/2001</u> as reissue application number _____ / _____ and was amended on <u>June 23, 2003; Sept. 30, 2003; October 6, 2005</u> (If applicable) I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. <input type="checkbox"/> I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b). Attached is form PTO/SB/02B (or equivalent) listing the foreign applications. I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.) <input checked="" type="checkbox"/> by reason of a defective specification or drawing. <input type="checkbox"/> by reason of the patentee claiming more or less than he had the right to claim in the patent. <input type="checkbox"/> by reason of other errors.		

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This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/52 (10-05)

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REISSUE APPLICATION DECLARATION BY THE ASSIGNEE		Docket Number (Optional) 22130.000302	
At least one error upon which reissue is based is described as follows: Original Fig.5 inadvertently depicted a Turbine and Turbine Blades rather than a Compressor and Compressor Blades.			
[Attach additional sheets, if needed.]			
All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.			
I hereby appoint:			
<input type="checkbox"/> Practitioners associated with Customer Number:		35856	
OR			
<input type="checkbox"/> Practitioner(s) named below:			
Name		Registration Number	
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.			
Correspondence Address: Direct all communications about the application to:			
<input checked="" type="checkbox"/> The address associated with Customer Number:		35856	
OR			
<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		
WARNING:			
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.			
Signature Michael J. Tempel Patent Counsel for Assignee		Date 1/12/06	
Full name of person signing (given name, family name)			
Address of Assignee Vericor Power Systems LLC 3625 Brookside Parkway, Suite 500, Alpharetta GA 30022			

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Patent No. 5,718,112

SUPPLEMENTAL REISSUE APPLICATION DECLARATION BY THE ASSIGNEE

"All errors being corrected in the reissue application up to the time of the filing of the oath or declaration, and which are not covered by a prior oath/declaration submitted in this application, arose without any deceptive intention on the part of the applicant."

JAN 12 2006

Patent No. 5,718,112

Attorney Docket No. 22130.0003U2

ADDENDUM TO SUPPLEMENTAL REISSUE APPLICATION DECLARATION BY
THE ASSIGNEE

Inventor	Citizenship
Doug Rogers	USA

Residence/Mailing address:
1537 Sierra Drive, Visalia, California 93291

Inventor	Citizenship
Gail Rogers	USA

Residence/Mailing address:
8353 E. Longden Avenue, San Gabriel, California 91775

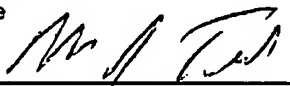
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REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT		Docket Number (Optional) 22130.0003U2
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) Paul R. Dodge; Robert S. McCarty; Doug Rogers; Gail Rogers		
Patent Number 5,718,112	Date Patent Issued February 17, 1998	
Title of Invention Method and apparatus for the destruction of volatile organic compounds.		
1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)		
2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.		
One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".		
The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.		
The assignee(s) owning an undivided interest in said original patent is/are <u>Vericor Power Systems LLC</u> and the assignee(s) consents to the accompanying application for reissue.		
Name of assignee/inventor (if not assigned)		
Signature 	Date 1/12/06	
Typed or printed name and title of person signing for assignee (if assigned) Michael J. Tempel, Registration No. 41,344 with a Power of Attorney on behalf of assignee.		

This collection of information is required by 37 CFR 1.172. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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